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278 Health Care Services Review — Request for Review and Response — Real-Time

This companion document is for informational purposes only to describe certain aspects and expectations regarding the transaction and is not a complete guide. The details contained in this document are supplemental and should be used in conjunction with the ASC X12 Standards for Electronic Data Interchange Technical Report Type 3 (TR3) as published by the Washington Publishing Company.

Section 1 – 278 Health Care Services Review: Basic Instructions

Section 2 – 278 Health Care Services Review: Enveloping

Section 3 – 278 Health Care Services Review: Charts for Situational Rules

NOTE: UniCare has designated Availity to operate and serve as UniCare's EDI Gateway (entry point) as a no-cost option to our Trading Partners.

Get Started With Availity

Use the <u>Availity Welcome Application</u> to begin the process of connecting to the Availity EDI Gateway for your UniCare EDI transmissions. **Review page 5 in this document for key EDI requirements.**

Also, the Availity Quick Start Guide will assist you with any EDI connection questions.

If you're a provider and wish to use a Clearinghouse or Billing company, please work with them to ensure connectivity.

Need Assistance?

For questions about signing up, contact Availity Client Services 1-800-AVAILITY (1-800-282-4548) or visit www.availity.com

Additional questions? Contact E-Solutions 800-470-9630 or email e-solutions.support@unicare.com



Section 1 - Basic Instructions

1 X12 and HIPAA Compliance Checking, and Business Edits

EDI interchanges submitted to UniCare for processing pass through compliance edits. 5010 acknowledgments and reports for accepted/rejected files will be returned to the trading partner for pickup using the reporting method established at Availity.

- TA1 Interchange Acknowledgment. UniCare returns TA1 X12 and proprietary reports to the submitter of inbound 837 files containing envelope errors in the ISA and GS segments.
- Level 1. Immediate Batch Report (IBR). UniCare returns a 999 Interchange Acknowledgment to the submitter for every inbound 837 transaction received. If the X12 syntax or any other aspect of the 837 is not X12 compliant, the Immediate Batch Report/999 will also report the Level 1 errors in AK segments and indicate that the entire transaction set has been rejected.
- Level 2. In addition to HIPAA TR3 edits, UniCare applies business edits to ensure that the necessary information is populated and complete for efficient processing. When encountering HIPAA compliance (including balancing), code set or business errors, UniCare returns details that identify these errors to the Trading Partner in the: 1) Electronic Batch Report (EBR) and 2) Delayed Payer Report (DPR) listing which claim(s) have failed. These reports are formatted based on the settings the trading partner chooses at Availity. Review the <u>Availity EDI Guide</u> for more information on report formatting options.

2 HIPAA Compliant Codes

Use HIPAA-compliant codes from current versions of the following:

- Physician's Current Procedure Terminology (CPT)
- Health Care Financing Administration Common Procedural Coding System (HCPCS)
- International Classification of Diseases Clinical Mod (ICD-10-CM) Clinical Modification
- International Classification of Diseases Clinical Mod (ICD-10-PCS) Procedure Coding System
- National Uniform Billing Committee (NUBC) Codes
- Diagnosis Related Group Number (DRG)
- Provider Taxonomy Codes
- National Drug Codes

3 Business Events Supported by the 278 Transaction Set

Use HIPAA-compliant codes from current versions of the following:

- Admission certification review request and associated response Supported
- Referral review request and associated response Supported
- Health care services certification review request and associated response Supported
- Extend certification review request and associated response Not Supported
- Certification appeal review request and associated response Not Supported
- Reservation of medical services request and associated response Not Supported
- Cancellations of service reservations request and associated response Not Supported



4 Uppercase Letters, Special Characters, and Delimiters

As specified in the TR3, the basic character set includes uppercase letters, digits, space, and other special characters.

- All alpha characters must be submitted in UPPERCASE letters only.
- Suggested delimiters for the transaction are assigned as part of the trading partner set up.
 - Data Element Separator, Asterisk (*)
 - Repetition Separator (ISA11), Caret (^)
 - Sub-Element Separator, Colon (:)
 - Segment Terminator, Tilde (~)
- To avoid syntax errors, hyphens, parentheses and spaces are not recommended to be used in values for identifiers.

Examples: Recommended: Zip Code 123456789 Medical Record # 1234567

• Since originally submitted values may be returned on outbound transactions, UniCare encourages trading partners to not use the following special characters as part of the value: asterisk (*), less than/greater than signs (<, >), colon (:), and slash (/). This minimizes the risk for a special character to be recognized as a delimiter.

Example: Provider assigns a Patient Control Number '12*3456789'. Although an asterisk (*) is a valid special character, it adversely affects processing since it is also a common delimiter. The value '12*3456789' may process incorrectly as two separate values '12' and '3456789'.

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Section 2 - Enveloping

EDI envelopes control and track communications between you and UniCare. One envelope may contain many transaction sets grouped into the following:

- Interchange Control Header (ISA)
- Functional Group Header (GS)

- Functional Group Trailer (GE)
- Interchange Control Trailer (IEA)

UniCare has designated Availity to operate and serve as UniCare's EDI Gateway (entry point) as a no-cost option to our Trading Partners. Availity has specific requirements that must be adhered to and should be reviewed in order to ensure transactions are accepted, processed and ultimately delivered to UniCare.

For more information on submitting claims and the required ISA and GS envelope values, review the following topics in the Availity EDI Guide.

- Uploading and downloading EDI files
- Control Segments/Envelopes
- FTP Client Confirmation
- Acknowledgements and Reports



Section 3 - Charts for Situational Rules

Listed below are loops, segments, and data elements required for proper adjudication by UniCare per the situational rules in the 278 TR3.

			278 Health Ca	re Services Re	view Request	
TR3	Segn	nent	Reference	Value	Definitions and Notes	
			Designator(s)		Specific to UniCare	
P.65	ST	Transa	action Set Header - Ref	er to TR3		
P.67	BHT		BHT02	13	13 - Request	
	Beginnin		Transaction Set			
	Hierarch					
			tion Management Orga			
P.69	HL			nization (UMO) Level - I	Refer to 1R3	
			ion Management Orga		1.4. 4. 114. 5010.4	
		Availity			th the Availity EDI Gateway	
P.71	NM1	_	NM103	(Information	Corresponds to Receiver/Sender ID populated	
	Utilizatio		Name Last or	Source Last or Org	in NM109.	
	Manager Organiza		Organization Name NM108	Name) Pl	PI - Payor Identification	
	Name	ation	ID Code Qualifier	PI	F1 - Fayor Identification	
Loon II		-Regue	ster Level			
P.74	HL		ester Level - Refer to TF	23		
			ation Receiver Name	10		
P.76	NM1		ester Name - Refer to Ti	R3		
P.79	REF		uester Supplemental Identification - Refer to TR3			
P.81	N3		ester Address - Refer to			
P.82	N4		ester City, State, ZIP Co			
P.84	PER	- 4 3),)				
P.87	PRV		ester Provider Information	on - Refer to TR3		
Loop II	D 2000C-	-Subsci	riber Level			
P.89	HL		riber Level - Refer to TI	7 3		
			riber Name			
P.91	NM1		NM103	(Subscriber Last	First and Last name of the subscriber exactly	
	Subscrib		Name Last or	Name)	as they appear on the UniCare ID card.	
	Name		Organization Name		Populated for finding match for subscriber.	
		1 -	NM104	(Subscriber First		
			Name First	Name)		
			NM108	MI	MI - Member Identification Number	
			D Code Qualifier	(6.1.1)		
			NM109	(Subscriber	Submit the ID number exactly as it appears on	
		1	dentification Code	Primary ID)	the UniCare ID card, including any	
					alphanumeric prefix, which is required when	
					present.	
					Populated for finding match for subscriber.	



		27	'8 Health Care S	Services Revi	ew Request
TR3		Segment	Reference Designator(s)	Value	Definitions and Notes Specific to UniCare
Loop I		C—Subscriber			
P.94	REF Subsc	riher	REF01 Ref ID Code Qualifier	HJ	HJ - Identify Card Number
		emental	REF02	(Subscriber	Must equal the UniCare assigned 2 digit
		ication	Reference	Supplemental	member ID on the card, if the patient is a
	Idontiii		Identification	Identifier)	dependent.
P.96	N3		ldress - Refer to TR3		
P.97	N4	Subscriber Cit	ty, State, ZIP Code - Rei	fer to TR3	
P.99	DMG		DMG02	(Subscriber	Populated for positive identification of the
	Subsc		Date Time Period	Birth Date)	subscriber.
		graphic			
	Inform				
P.101	INS		elationship - Refer to TR	3	
Loop I	D 2000I	D—Dependent			
P.103	HL		evel - Refer to TR3		
Loop I	D 2010[D—Dependent	Name		
P.105	NM1		NM103	(Dependent Last	Populated for positive identification of the
	Depen	ident Name	Name Last or Organization Name	Name)	dependent.
P.107	REF	Dependent Su	pplemental Identification - Refer to TR3		
P.109	N3	Dependent Ad	Idress - Refer to TR3		
P.110	N4	Dependent Ci	ty, State, ZIP Code - Re	fer to TR3	
P.112	DMG		emographic Information - Refer to TR3		
P.114	INS	Dependent Re	elationship - Refer to TR	3	
Loop I	D 2000E	—Patient Eve	nt Level		
P.116	HL	Patient Event	Level - Refer to TR3		
P.118	TRN	Patient Event	Tracking Number - Refe	er to TR3	
P.120	UM		UM03	1	Specialty care referrals (UM01=SC) are
	Health	Care	Service Type Code		associated with medical care (UM03=1).
	Servic	es Review	UM04-1	(Facility Type	Value required for precertification
	Inform	ation	Facility Code Value	Code)	requests.
					 For ambulatory surgeries, UM04-1=24
					regardless of the type of facility the service
					is being rendered in.
			UM04-2	В	B - Place of Service Codes for
			Facility Code		Professional or Dental Services
			Qualifier		
P.128	REF		REF02	(Previous	Valid Case Authorization Number must be
	Previous Review Authorization		Reference	Review	populated when Certification Type Code
			Identification	Authorization	UM02=3, 4, R, S.
	Numb			Number)	
P.129	REF		ew Administrative Refer	ence Number - Refe	r to TR3
P.130	DTP		- Refer to TR3		
P.131	DTP		al Period Date - Refer to		
P.132	DTP	Estimated Date	te of Birth - Refer to TR3	}	



	278 Health Care Services Review Request								
TR3		Segment	Reference Designator(s)	Value	Definitions and Notes Specific to UniCare				
Loop II	Loop ID 2000E—Patient Event Level (cont'd)								
P.133	DTP								
P.134	DTP	Event Date							
		Outpatient (non-	Mental Health) Servic	es:					
					DTP01=AAH should be used for the				
					nent on how to convey the requested				
					or any requested date that is in the				
					ling the current date). For example,				
					ests must have an effective date of				
			e is greater triari 5 day	rs in the past, then an AF	AA segment will be returned.				
P.135	DTP	Admission Date							
			ental Health) Services		SNF, NICU (newborn care,)				
					octive Technologies: '435' required.				
P.136	DTP	Discharge Date		torrity, ritinolal reprodu	ouve rearmologies. 400 required.				
P.137	HI	Patient Diagnosi							
P.155	HSD		vices Delivery - Refer	to TR3					
P.160	CRC		ification Information -						
P.163	CRC	Chiropractic Cer	tification Information -	Refer to TR3					
P.166	CRC		Equipment Information						
P.170	CRC		Certification Information						
P.173	CRC		ations Information - Re						
P.177 P.180	CRC		ted Information - Refe						
P.183	CL1	Meritai Status III	formation - Refer to T CL101	1, 2, 3	1 - Emergency				
F.103		ional Claim Code	Admission Type	1, 2, 3	2 - Urgent				
	montat	ioriai Olaiiri Ocac	Code		3 - Scheduled				
P.185	CR1	Ambulance Tran	sport Information - Re	efer to TR3					
P.188	CR2		tions Service Informat						
P.192	CR5		herapy Information - I						
P.197	CR6		re Information - Refe						
P.203	PWK		Additional Patient Information - Refer to TR3						
P.208	MSG	Message Text -							
		A—Patient Event		r- TD0					
P.209	NM1		<u>ovider Name - Refer t</u> ovider Supplemental		22				
P.213 P.215	REF N3	Patient Event Provider Supplemental Information - Refer to TR3 Patient Event Provider Address - Refer to TR3							
P.216	N4			P Code - Refer to TR3					
P.218	PER		ovider City, State, Zir ovider Contact Inform						
P.221	PRV		ovider Information - R						
			Transport Information						
P.223	NM1		ansport Information -						
P.225	N3		ansport Location Add						
P.226	N4	Patient Event Tra	ansport Location City/	/State/ZIP Code - Refer t	o TR3				



	278 Health Care Services Review Request							
TR3	Segn	nent	Reference	Value	Definitions and Notes			
			Designator(s)		Specific to UniCare			
	Loop ID 2010EC—Patient Event Other UMO Name							
P.228	NM1							
P.230	REF		Other UMO Denial Reason - Refer to TR3					
P.233	DTP		UMO Denial Date - Re	fer to TR3				
	2100F-							
P.234	HL		e Level - Refer to TR3					
P.236	TRN		e Trace Number - Refe					
P.238	UM		Care Services Review					
P.244	REF		us Review Authorization					
P.245	REF			ive Reference	e Number - Refer to TR3			
P.246	DTP	Servic	e Date - Refer to TR3					
P.247	SV1		sional Service - Refer					
P.253	SV2		tional Service Line - Re					
P.259	SV3		Service - Refer to TR					
P.264	TOO	Tooth I	Information - Refer to	TR3				
P.266	HSD		HSD01	DY	Required for specialty care referral and precertification			
	Health		Quantity Qualifier	VS	submissions.			
	Service				• For specialty care referrals (UM01=SC), type of service in			
	Deliver	У			visits (HSD01=VS).			
			HSD03	DA	Required for specialty care referral requests (UM01=SC).			
			Unit or Basis for		• For specialty care referrals (UM01=SC), units in days			
			Measurement Code		(HSD03=DA).			
			HSD04		or specialty care referral requests (UM01=SC).			
			Sample Selection Modules		t be the number of days the specialty care referral is valid			
P.271	PWK	A dditio	เพอดินเคร กลไ Service Information	for.	D2			
P.271	MSG		ge Text - Refer to TR3		no .			
			ce Provider Name					
P.277	NM1		e Provider Name - Ref	or to TD2				
					on Defeate TD2			
P.281 P.283	REF N3	Service Provider Supplemental Identification - Refer to TR3 Service Provider Address - Refer to TR3						
P.283 P.284	N3 N4				ofor to TD2			
			e Provider City, State, a					
P.286	PER		e Provider Contact Info					
P.289	PRV		Provider Information		J			
P.291	SE	ıransa	ction Set Trailer - Refe	er to TR3				



		2	278 Health Care S	Services Review	Response					
TR3	Segment		Reference Value		Definitions and Notes					
			Designator(s)		Specific to UniCare					
					•					
P.302	ST	Transaction Set Header - Refer to TR3								
P.304	BHT		BHT02	11	11 - Response					
	Beginnir	ng of	Transaction Set		·					
			Purpose Code							
Loop II			n Management Organiza	tion Level	1					
P.306	HL		on Management Organizat		to TR3					
P.308	AAA		t Validation - Refer to TR3							
			on Management Organiza							
			uidelines for submission		Availity EDI Gateway					
P.310	NM1	, , ,	NM103	(Information Source	Receiver/Sender ID populated in					
	Utilizatio	n	Name Last or	Last or Org Name)	NM109 of 278 Request.					
	Manage		Organization Name	3,						
	Organiza		NM108	PI	PI - Payor Identification					
	Name		ID Code Qualifier		,					
P.313	PER	UMO C	ontact Information - Refer	to TR3						
P.316	AAA	UMO R	equest Validation - Refer to	o TR3						
Loop II	2000B—									
P.318	HL		ter Level - Refer to TR3							
Loop II	2100B—		ion Receiver Name							
P.320	NM1		ter Name - Refer to TR3							
P.323	REF		ter Supplemental Identifica	ation - Refer to TR3						
P.325	AAA		ter Request Validation - Re							
P.327	PRV		ter Provider Information - F							
	2000C-									
P.329	HL		ber Level - Refer to TR3							
	2010C-									
P.331	NM1		NM103	(Subscriber Last	First and Last name of the subscriber					
	Subscrib	er	Name Last or	Name)	on the UniCare ID card.					
	Name		Organization Name	,						
			NM104	(Subscriber First						
			Name First	Name)						
			NM108	MI	MI - Member Identification Number					
			ID Code Qualifier							
			NM109	(Subscriber Primary	ID number on the UniCare ID card,					
			Identification Code	ID)	including any alphanumeric prefix,					
					which is required when present.					
P.334	REF	Subscri	ber Supplemental Identifica	ation - Refer to TR3	· · · · · · · · · · · · · · · · · · ·					
P.336	N3		ber Address - Refer to TR3							
P.337	N4	Subscri	ber City, State, ZIP Code -	Refer to TR3						
P.339	AAA									



		278 H	ealth Care Service	es Review Res	oonse			
TR3		Segment	Reference Designator(s)	Value	Definitions and Notes Specific to UniCare			
Loop II	20100	—Subscriber Nam						
P.341	DMG		DMG02	(Subscriber Birth	Populated for positive			
	Subsc	riber Demographic	Date Time Period	Date)	identification of the subscriber.			
	Inform			,				
P.343	INS	Subscriber Rela	tionship - Refer to TR3					
		—Dependent Leve						
P.345	HL	Dependent Level -						
		—Dependent Nam						
P.347	NM1		NM103	(Dependent Last	Last name of dependent			
	Depen	dent Name	Name Last or	Name)	submitted on 278 Request			
			Organization Name					
P.350	REF		mental Identification - Refe	r to TR3				
P.352	N3	Dependent Addres						
P.353	N4		tate, ZIP Code - Refer to TI					
P.355	AAA		st Validation - Refer to TR3					
P.357	DMG		graphic Information - Refer	to TR3				
P.359	INS		nship - Refer to TR3					
		—Patient Event Le						
P.361	HL	Patient Event Leve						
P.363	TRN		king Number - Refer to TR					
P.365	AAA	Patient Event Request Validation - Refer to TR3						
P.367	UM		Health Care Services Review Information - Refer to TR3					
P.373	HCR		Health Care Services Review - Refer to TR3					
P.376	REF		erence Number - Refer to 1					
P.377	REF		Authorization Number - Refe	er to TR3				
P.378	DTP	Accident Date - Re						
P.379	DTP							
P.380	DTP	Estimated Date of Birth - Refer to TR3						
P.381	DTP							
P.382	DTP	Event Date - Refer						
P.383	DTP	Admission Date - I						
P.384 P.385	DTP DTP	Discharge Date - Refer to TR3						
P.386		Certification Issue Date - Refer to TR3						
P.387	DTP DTP	Certification Expiration Date - Refer to TR3						
P.388	HI	Certification Effective Date - Refer to TR3						
		Patient Diagnosis - Refer to TR3						
P.408 P.413	HSD CL1	Health Care Services Delivery - Refer to TR3 Institutional Claim Code - Refer to TR3						
P.413 P.414	CR1		oort Information - Refer to T	·D3				
P.414 P.416	CR2		ns Service Information - Re					
P.410 P.420	CR5		erapy Information - Refer to					
P.423	CR6	, ,	Information - Refer to TR3					
P.426	PWK		Information - Refer to TR3					
P.420	MSG	Message Text - Re						
F.431	IVIOU	iviessaye rext - Re	10 IN3					



	278 Health Care Services Review Response								
TR3		Segment	Reference	Value	Definitions and Notes				
			Designator(s)		Specific to UniCare				
	Loop ID 2010EA—Patient Event Provider Name								
P.432	NM1		rider Name - Refer to T						
P.435	REF		rider Supplemental Info		TR3				
P.437	N3		rider Address - Refer to						
P.438	N4		ider City, State, ZIP C						
P.440	PER		ider Contact Information						
P.441			ider Request Validatio						
P.445	PRV		ider Information - Refe						
			ent Information Cont						
P.447	NM1		nformation Contact Na						
P.450	N3		nformation Contact Ad		-				
P.451	N4		nformation Contact Cit	,					
P.453	PER		nformation Contact Inf		TR3				
			ransport Information						
P.456	NM1		sport Information - Ref						
P.458	N3		sport Location Address						
P.459	N4		sport Location City/Sta						
			sport Location Reques	t Validation - Refer	to TR3				
		—Service Level							
P.463	HL	Service Level - Ret							
	TRN	Service Trace Num							
	AAA		alidation - Refer to TR						
P.469	UM		Health Care Services Review Information - Refer to TR3						
P.474	HCR	Health Care Services Review - Refer to TR3							
P.477	REF	Administrative Reference Number - Refer to TR3							
P.478	REF		uthorization Number -	Refer to TR3					
P.479	DTP	Service Date - Refe							
P.480	DTP		Date - Refer to TR3						
P.481	DTP		tion Date - Refer to TR						
P.482	DTP		ve Date - Refer to TR3						
P.483	HI		nal Information - Refe	r to TR3					
P.493	SV1	Professional Service							
P.398	SV2		e Line - Refer to TR3						
P.503	SV3	Dental Service - Re							
	TOO	Tooth Information -							
P.510	HSD		es Delivery - Refer to						
P.515	PWK		Information - Refer to	TR3					
P.520	MSG	Message Text - Re	fer to TR3						





	278 Health Care Services Review Response							
TR3		Segment	Reference	Value	Definitions and Notes			
			Designator(s)		Specific to UniCare			
Loop I	D 2010F	A—Service Provid	er Name					
P,521	NM1	Service Provider N	ame - Refer to TR3					
P.524	REF	Service Provider S	Service Provider Supplemental Identification - Refer to TR3					
P.526	N3	Service Provider A	Service Provider Address - Refer to TR3					
P.527	N4	Service Provider C	ity, State, ZIP Code - F	Refer to TR3				
P.529	PER	Service Provider C	Service Provider Contact Information - Refer to TR3					
P.532	AAA	Service Provider Request Validation - Refer to TR3						
P.534	PRV	Service Provider Information - Refer to TR3						
Loop I	Loop ID 2010FB—Additional Service Information Contact Name							
P.536	NM1	Additional Service Information Contact Name - Refer to TR3						
P.539	N3	Additional Service Information Contact Name Address - Refer to TR3						
P.540	N4	Additional Service Information Contact Name City, State, ZIP Code - Refer to TR3						
P.542	PER	Additional Service	Additional Service Information Contact Information - Refer to TR3					
P.545	SE	Transaction Set Tra	ailer - Refer to TR3					



278 Health Care Services Review Companion Document

Release Notes					
Number	Page(s)	Description			
AV-1		Updated references for Availity EDI Gateway Updated Basic Instructions			